This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

	्या है. स	
Y		
1915 1915		
	-	
		¥
		* 3 (A)
		(4)
() ()		4
i i		
•		A STATE OF THE PERSON NAMED IN COLUMN STATE OF THE PERSON NAMED IN
44		***************************************
	• • •	aria.
**		4
3 1		, j
it.		85
7		
	4,1	4
		4

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: C12N 15/12, 15/62, C07K 14/47, 16/18,

A3

PCT/US99/24222

(11) International Publication Number:

WO 00/22130

C12Q 1/68, G01N 33/53

(43) International Publication Date:

20 April 2000 (20.04.00)

(21) International Application Number:

(22) International Filing Date:

14 October 1999 (14.10.99)

(30) Priority Data:

60/104,351 Not furnished

15 October 1998 (15.10.98) US 13 October 1999 (13.10.99)

US

(71) Applicant: CHIRON CORPORATION [US/US]; 4560 Horton Street, Emeryville, CA 94608 (US).

(72) Inventor: GIESE, Klaus; Atugen Biotechnology GmbH, Robert-Rossie-Strasse 10, D-13125 Berlin (DE).

(74) Agents: POTTER, Jane, E., R.; Seed and Berry LLP, 6300 Columbia, 701 Fifth Avenue, Seattle, WA 98104-7092 (US) (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(88) Date of publication of the international search report: 5 October 2000 (05.10.00)

(54) Title: METASTATIC BREAST AND COLON CANCER REGULATED GENES

(57) Abstract

Gene sequences as shown in SEQ ID NOS: 1-85 have been found to be significantly associated with metastatic potential of cancer cells, especially breast and colon cancer cells. Methods are provided for determining the risk of metastasis of a tumor, which involve determining whether a tissue sample from a tumor expresses a polypeptide encoded by a gene as shown in SEQ ID NOS:1-85, or a substantial portion thereof.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Моласо	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Togo
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Tajikistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia		Turkmenistan
BG	Bulgaria	HU	Hungary	ML	Mali	TR	Turkey
BJ	Benin	IE	Ireland	MN	Mongolia	TT	Trinidad and Tobago
BR	Brazil	IL	Israel	MR	Mauritania	UA	Ukraine
BY	Belarus	IS	Iceland	MW	Malawi	UG	Uganda
CA	Canada	IT	Italy	MX		US	United States of America
CF	Central African Republic	JP	Japan	NE.	Mexico	UZ	Uzbekistan
CG	Congo	KE	Kenya	NL	Niger	VN	Viet Nam
СН	Switzerland	KG	Kyrgyzstan		Netherlands	YU	Yugoslavia
CI	Côte d'Ivoire	KP		NO	Norway	ZW	Zimbabwe
CM	Cameroon	N.	Democratic People's	NZ	New Zealand		
CN	China	KR	Republic of Korea	PL	Poland	*	
CU	Cuba		Republic of Korea	PT	Portugal		
CZ	Czech Republic	KZ	Kazakstan	RO	Romania		
DE	Germany	LC	Saint Lucia	RU	Russian Federation		
DK	Denmark	LI	Liechtenstein	SD	Sudan		•
EE		LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

Intern 12l Application No PCT/US 99/24222

			PC1/03 9	3/24222	
A. CLASS IPC 7	C12N15/12 C12N15/62 C07K1 G01N33/53	4/47 CO7K16/	18 C12	Q1/68	
	to International Patent Classification (IPC) or to both national clas	sification and IPC			
	SEARCHED	•			
IPC 7	ocumentation searched (classification system followed by classif CO7K C12N C12Q GO1N	ication symbols)			
Documenta	tion searched other than minimum documentation to the extent th	al such documents are includ	ded in the fields a	earched	
Electronic o	tate base consulted during the International search (name of data	case and, where practical,	search terms usec		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with indication, where appropriate, of the	relevant passages		Relevant to claim No.	
A	DATABASE EMSTS [Online] E.M.B.L. Databases Accession Number: G21051, 1 June 1996 (1996-06-01))		1-8, 12-14,18	
	HUDSON T: "Human STS WI-12648, tagged site" XP002134106 96.3% identity in 134 bp overla SeqIdNo.1 abstract				
A	SCOTLANDI K ET AL: "Multidrug and malignancy in human osteosa CANCER RES, vol. 56, no. 10, 15 May 1996 (1 pages 2434-2439, XP002134105	rcoma"			
		-/		·	
X Furthe	er documents are listed in the continuation of box C.	Patent family me	mbers are listed in	n annex.	
A documen conside	egones of cited documents: It defining the general state of the art which is not red to be of particular relevance occument but published on or after the international	T later document publis or priority date and n cited to understand t invention	ot in conflict with the principle or the	the application but ory underlying the	
hling da "L" documen which is citation	te t which may throw doubts on pnorty claim(s) or cited to establish the publication date of another or other special reason (as specified)	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the			
otner mi P* documen	it referring to an oral disclosure, use, exhibition or eans t published onor to the international filling date but in the priority date claimed	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled in the art. *&* document member of the same patent family			
Date of the ac	itual completion of the international search	Date of mailing of the			
	March 2000	0 5, 07, 00			
vame ano ma	uiing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx 31 651 epo nt.	Authorized officer Lonnoy,	n		
	Fax: (+31-70) 340-3016	Lointoy,			

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Interna al Application No PCT/US 99/24222

	OCCUMENTS CONSIDERED TO BE RELEVANT		
Jakeyury 1	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
A	CARMECI ET AL: "Identification of a gene (GPR30) with homology to the G-protein-coupled receptor superfamily associated with estrogen receptor expression in breast cancer" GENOMICS, US, ACADEMIC PRESS, SAN DIEGO, vol. 45, no. 3, 1 November 1997 (1997-11-01), pages 607-617-17, XP002099963 ISSN: 0888-7543		
A	RADINSKY ET AL: "Level and function of epidermal growth factor receptor predict the metastatic potential of human colon carcinoma cells" CLINICAL CANCER RESEARCH, US, THE AMERICAN ASSOCIATION FOR CANCER RESEARCH, vol. 1, no. 1, January 1995 (1995-01), pages 19-31-31, XP002099964 ISSN: 1078-0432		
			
		ı	/
			•
			,
	•		

INTERNATIONAL SEARCH REPORT

ational application No. PCT/US 99/24222

Box I	Observations where certain claims were found unsearchabl (Continuation of firem 1 of first sheet)
This Inte	mational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
,	
2. X	Claims Nos.: 4 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
	see FURTHER INFORMATION sheet PCT/ISA/210
3.	Claims Nos.
	because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inter	rnational Searching Authority found multiple inventions in this international application, as follows:
Se	e additional speets
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is estricted to the invention first mentioned in the claims; it is covered by claims Nos.:
In	bension 1. Claims: 1-8, 12-14 and 18 (all partially)
Remark o	n Protest The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Invention 1: Claims: 1-8,12-14 and 18 (all partially)

An isolated and purified human protein comprising an amino acid sequence which is at least 85% identical to an amino acid sequence encoded by a nucleotide sequence consisting of SeqIdNo.1 or the complement thereof; A fusion protein comprising at least six contiguous amino acids selected from an amino acid sequence encoded by the nucleotide sequence of SeqidNo.1 or the complement thereof; A preparation of antibodies which specifically bind to a human protein which comprises an amino acid sequence encoded by the nucleotide sequence of SeqIdNo.1 or the complement thereof; A method for detecting metastatic tumor cells in a tissue sample comprising the step of measuring in said tissue sample an expression product of a gene which comprises a coding sequence of SeqIdNo.1, wherein a tissue sample which expresses the product is categorized as containing metastatic tumor cells; A method for determining metastatic potential in a tissue sample comprising the step of measuring an expression product of a gene which comprises a sequence of SeqIdNo.1, wherein a tissue sample which expresses the product is categorized as having metastatic potential; A method of predicting the propensity for metastatic spread of a breast tumor preferentially to bone or lung comprising the step of measuring in a breast tumor sample an expression product of a gene which comprises a sequence consisting of SeqIdNo.1. wherein a breast tumor sample which expresses the product is categorized as having a propensity to metastasize to bone or lung.

2. Inventions 2-35: Claims: 1-8,12-14,18 and 19 (all partially, as applicable)

As for invention 1, but respectively relating to one sequence selected from the group consisting of SeqIdNo.2, 4, 5, 6, 9, 11, 13, 14, 18, 19, 20, 22, 24, 26, 27, 29, 30, 32, 33, 35, 36, 38, 39, 40, 41, 45, 48, 52, 54, 55, 57, 58, 60 and 63

3. Inventions 36-48: Claims: 6-8,12-14,18 and 19 (all partially, as applicable)

As for invention 1, but respectively relating to one sequence selected from the group consisting of SeqIdNo.64, 65, 66, 69, 70, 71, 72, 73, 74, 76, 80, 82 and 83.

4. Inventions 49: Claims: I-5, 9-11, 15-17 (all partially)

An isolated and purified human protein comprising an amino acid sequence which is at least 85% identical to an amino acid sequence encoded by a nucleotide sequence consisting of SeqIdNo.3 or the complement thereof; A fusion protein comprising at least six contiguous amino acids selected from an amino acid sequence encoded by the nucleotide sequence of SeqIdNo.3 or the complement thereof; A preparation of antibodies which specifically bind to a human protein which comprises an amino acid sequence encoded by the nucleotide sequence of SeqIdNo.3 or the complement thereof; A method for detecting metastatic tumor cells in a tissue sample comprising the step of measuring in said tissue sample an expression product of a gene which comprises a sequence consisting of SeqIdNo.3, wherein a tissue sample which does not express the product is categorized as metastatic; A method for determining metastatic potential in a tissue sample comprising the step of measuring in a tissue sample an expression product of a gene which comprises a sequence of SeqIdNo.3, wherein a tissue sample which does not express the product is categorized as having metastatic potential

5. Inventions 50-75: Claims: 1-5, 9-11, 15-17 (all partially)

As for invention 49, but respectively relating to one sequence selected from the group consisting of SeqIdNo.7, 8, 10, 12, 15, 16, 17, 21, 23, 25, 28, 31, 34, 37, 42, 43, 44, 46, 47, 49, 50, 51, 53, 59, 61, 62

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

6. Inventions 76-84: Claims: 9-11, 15-17 (all partially)

As for invention 49, but respectively relating to one sequence selected from the group consisting of SeqIdNo.67, 68, 75, 77, 78, 79, 81, 84 and 85

7. Invention 85: Claim: 20 (totally) and 1-5 (all partially)

An isolated and purified human protein comprising an amino acid sequence which is at least 85% identical to an amino acid sequence encoded by a nucleotide sequence consisting of SeqIdNo.56 or the complement thereof; A fusion protein comprising at least six contiguous amino acids selected from an amino acid sequence encoded by the nucleotide sequence of SeqIdNo.56 or the complement thereof; A preparation of antibodies which specifically bind to a human protein which comprises an amino acid sequence encoded by the nucleotide sequence of SeqIdNo.56 or the complement thereof; A method of predicting propensity for metastatic spread of a colon tumor comprising the step of measuring in a colon tumor sample an expression product of a gene which comprises the nucleotide sequence shown in SeqIdNo.56, wherein a colon tumor sample which expresses the product is characterised as having a low propensity to metastasize.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 4

Claim 4, which is directed to a fusion protein which comprises a first protein segment and a second protein segment fused to each other by means of a peptide bond, wherein the first protein segment consists of at least six contiguous amino acids selected from an amino acid sequence encoded by a nucleotide sequence of SeqIdNo.1 or the complement thereof, encompasses an extremely large number of sequences. In view of that huge number, a meaningful complete search cannot be carried out. Furthermore, as none of the claimed sequences as defined in claim 4 is disclosed in the application, the Search Division is provided with no guidance to carry out at least a meaningful partial search.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

BNSDOCID: <WO__0022130A3 | >